

BASIC

RICHARD O. REINHART, M.D.

flight physiology



THIRD EDITION

Basic Flight Physiology

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Basic Flight Physiology

Third Edition

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0-07-159670-4

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DOI: 10.1036/007149488X



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*To my sons Mark and Curtis,
who have shared our love of flight*

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Contents

<i>Foreword</i>	<i>xi</i>
<i>Foreword from first edition</i>	<i>xiii</i>
<i>Introduction</i>	<i>xv</i>
1 Human factors defined	1
Cause and effect	3
Accident or incident	4
Definitions of human factors and flight physiology	5
The “SHEL” model	7
Incapacitation/impairment	9
Flight surgeons and aviation medical examiners (AMEs)	11
2 Basic human anatomy	13
The nervous system	14
The musculoskeletal system	17
The gastrointestinal (GI) system	19
The metabolic system	20
The circulatory system	22
Respiration	27
3 The atmosphere	32
Composition of the atmosphere	33
Physical characteristics of the atmosphere	35
Gas laws	37
Divisions of the atmosphere	41
Physiological divisions of the atmosphere	43
General effects on the human body	44
4 Situation awareness	45
Situation awareness defined	46
Components of situation awareness	47
Causes and clues of lost situation awareness	48
Prevention of lost situation awareness	48

5	<i>Altitude physiology</i>	50
	The physiology of oxygen in the body	50
	Review of respiration physiology	51
	Carbon monoxide and ozone	67
	Decompression of cabin altitude	68
	Trapped gases	70
	Evolved gas disorders	75
6	<i>Hearing and vibration</i>	84
	Anatomy and physiology of the ear	85
	Definition of sound	87
	Definition of noise	89
	Perception of sound	92
	Measurement of hearing	92
	Noise and hearing loss	93
	Other types of hearing loss	96
	Hearing conservation	97
	Vibration	99
7	<i>Vision</i>	100
	The light spectrum	101
	Anatomy of the eye	102
	Optics and physics of light	106
	Correction of acuity	107
	Correction without glasses or contacts	111
	Night vision	113
	Factors affecting visual acuity	119
	Visual scanning	119
	Visual illusions and misperceptions	120
	Black holes and whiteouts	121
	Sunglasses	122
	Ultraviolet radiation	124
8	<i>Orientation</i>	125
	Disorientation	126
	Definition of disorientation	126
	Types of disorientation	128
	Visual illusions	145
	Tolerance to disorientation and illusions	148
	Flicker vertigo	150
	Motion sickness	151
9	<i>Self-imposed medical stresses</i>	153
	Self-diagnosis	154
	Over-the-counter medications	157
	Caffeine	166
	Miscellaneous abuses	169

Physical condition	172
Alcohol and drugs	172
It only takes one	186
10 Environmental stresses	189
Basic physics of heat	190
The body's temperature control system	192
Coping with heat extremes	195
Coping with cold extremes	200
Dehydration	203
Radiation	204
Cabin air quality	206
Toxic chemicals and fumes	206
11 Sleep, jet lag, and fatigue	208
Sleep	209
Circadian rhythms	219
Fatigue	224
12 Acceleration	234
Types of acceleration	234
Common situations in civilian flying	236
Symptoms of G forces	236
Tolerance to G forces	238
Negative G forces	239
13 Crew resource management (CRM) and stress management	240
Definitions in CRM	241
Resources	242
Management	242
Skills learned in CRM	243
Training in CRM	245
Deterrents to good CRM	245
Stress management	247
Post-traumatic stress disorder (PTSD)	249
14 Human factors of automation	251
Cockpit automation defined	252
Information processing	254
Physiological impairments	255
Quantifying impairment	257
15 Inflight medical emergencies	259
First aid	260
Onboard medical kits	262
Transporting ill passengers	263

Basic survival techniques	263
A reminder	265
16 Health maintenance program	266
Nutrition and diet	267
Hypoglycemia	271
Exercise	271
The medical examination	272
FAA and company flight physicals	282
17 Medical standards, regulations, and certification	284
Definitions	286
Typical certification scenario	290
Preferred certification scenario	295
Denial	295
Recertification and special issuance	296
Glossary	298
Recommended resources for aerospace medicine and flight physiology	304
Index	305

rarely are contributing factors discussed when placing blame, even though they are mentioned in accident reports. Unlike in medicine, contributing factors (the incidents in a chain of events) are mentioned in the final findings but often minimized or buried in the body of the report. And we tend to focus on who was in error, not why that pilot erred during the preceding events. Always look for the contributing factors, because those usually can be controlled. The final event and the resulting accident cannot be reversed.

ACCIDENT OR INCIDENT

Try to mentally visualize some of the insights relative to an accident. How many readers can recall pictures in the press of a major airplane accident. There's no doubt the plane flew into terrain! Now, try to visualize a picture of an incident. Not so easy. Then consider the picture of a bat and frog (Fig. 1-1). A rather amazing photograph! What's going to happen to the frog?

This is a still picture from a nature program that included actual movies leading up to the picture. Believe if or not, there were occasions when the bat missed!

For the sake of an analogy, consider this to be a picture of an *incident*—the frog (a pilot) about to . . .? How could the frog beat the odds and avoid the “accident” of being consumed by the bat? It could consciously not move—to the bat’s “radar” the frog is just an extension of the rock. But the instinct would be for the frog to jump off the rock. Only experience overcomes this instinct. So, this incident wasn’t the last before an accident. The frog followed “SOP” for such attacks and suppressed the instinct to jump.

Let’s take this another step. A frog is cold blooded, adapting to temperature extremes by allowing its body to stay the same temperature as the environment.



Figure 1-1 Accident or incident? Bat meets frog.



THE “DUH” FACTOR (OR “NO KIDDING!?”, “REALLY!?”)

In 1999, Boeing, rather than restating what causes accidents, published their study of *Accident Prevention Strategies*. Their finding:

“Flightcrew compliance with established procedures is the single most effective safety prevention strategy available to airlines. For example, 50% of all accidents studied could have been prevented by the pilot flying following SOP and 35% prevented by pilot-not-flying following SOP. Design improvement: Less than 30%.

The old caveat still stands: READ THE MANUAL!!—then comply with it.

If you put a frog into a pan of cold water and then heat the water, the frog will sit there enjoying the warmth until it's cooked! Nothing signaled the frog that it was in danger. Next scenario: take the deceased frog out, drop another frog into the hot water, and watch what happens. The frog jumps; instinct properly applied. FYI, humans and other animals can train themselves to tolerate extreme heat (or other pain). For example, when first touching the bare handle of a hot pan, you instinctively let go. With practice and will power, you can pick up that hot pan without dropping it.

Lesson learned: After years of flying, a pilot can virtually fly a specific aircraft “instinctively,” not having to think about what to do until there's a variation in conditions. If the conditions become risky (rough weather, aircraft, rapid ATC changes, computer malfunctions, etc.), the alert pilot can stay ahead of developments and declare an emergency, if necessary. Continuing the analogy: If a pilot is in a risky situation, what could interfere with that pilot's response to the risks and how can he/she take appropriate and timely action? What would erode the pilot's inherent ability to use good judgment, make safe decisions, and recover? How about fatigue, hypoxia, disorientation, a “legal” hangover, stress, and myriad other physiological impairments?

The point of this analogy? Keep this image in mind whenever flying. Throughout this text there will be occasional sidebars with this picture as an icon next to those topics which can catch up to an unsuspecting pilot at work. These “Gotchas” are exactly those situations that allow a recoverable incident to evolve into an accident—the last incident.

DEFINITIONS OF HUMAN FACTORS AND FLIGHT PHYSIOLOGY

Human factors has a variety of definitions, depending on whom you ask. For example, an engineer will consider the positioning of controls and instruments within the cockpit a human factor, the man-machine interface. The

psychologist will define it as how the pilot deals with stress, how he/she communicates, and how he/she manages available resources (CRM).

A pilot might say that it means the ability to perform under extreme conditions, how he/she judges what action to take when things turn sour. A physiologist or physician will consider the effects of fatigue, hypoglycemia, illness, noise, and other “medical” and “psychological” issues. The result is the same: how to get the human pilot from point A to point B in a flying machine without a human factor interfering or the aircraft’s onboard computers having to intervene.

Flight physiology is how the body and mind work in the flying environment. It includes such topics as understanding how our organs function, what keeps them from functioning in a hostile environment, and what the pilot can do to protect these functions before and during flight. Hypoxia, dehydration, fatigue, vibration, visual illusions, noise, disorientation, jet lag, self medication, alcohol, smoking, and many more topics are included in the list. Furthermore, how to define health and then how to protect health becomes an important goal.

Flight physiology is not dull. It is not just “nice to know.” It is essential to safe flight.

However, we tend to take these issues for granted because our bodies and minds are usually very tolerant and forgiving of the abuses to which we subject ourselves. “Human factors events happen to the other pilot—I can control those factors.” Denial of the importance of human factors compared to flying proficiency begins to take over our reasoning. The near-hit or dumb incident usually gets our attention and brings us back to the reality of the significance of human factors and our vulnerability. Now, these are the pilots who don’t have to be told to learn because they know its importance, at least until they fall back into old habits. We experience this all the time when driving; the near-collision with another car gets us back to driving safely again.

Flight physiology, therefore, is an integral part of human factors and safe flight. We expect our aircraft to be airworthy. We look to our mechanics to keep the aircraft airworthy. Before we fly, we preflight the machine to ensure that it is airworthy. Our expectations are high for that aircraft. We should have the same expectations for the pilots and the other flight crew. They must also be airworthy—medically airworthy. This text will concentrate on keeping the pilot medically airworthy while discussing human factors in general. Obviously, the pilot’s airworthiness is directly related to whether or not there are any human factors in flight. Flight physiology is the most important part of human factors. It’s the human element of human factors and safe flight, and it has a direct effect on performance.

Throughout this text, the term “medical airworthiness” will be used. As stated above, this will be the single designation to summarize the physiological and psychological state of the pilot. It will also relate to any illness and medical or physical impairment that might be present. It will be used in the same context as with expressing the flying status of an aircraft; is the pilot “medically airworthy” before being subjected to the stresses inherent in flight? And can that pilot maintain his/her airworthiness throughout the entirety of the flight?

Safety is the prime goal of all flight for all pilots. The best trained pilot, however, isn't safe if he or she isn't medically airworthy. Experience, even that associated with the more mature pilot, will not overcome any or all impairments. Knowing flight physiology, being aware of its effects on performance, and maintaining a high index of suspicion when performance becomes sub-standard will continue to make everyone a better and safer pilot. Without this knowledge insight, the pilot is practicing "crisis management," making an assumption that a problem can be dealt with when and if it arises.

THE "SHEL" MODEL

The International Civil Aviation Organization (ICAO) has used the concept of the SHEL model (developed by Edwards and Hawkins) to better define the role of human factors in aviation and how they interrelate. It is helpful in recognizing the importance of every factor when looking at the big picture of flight (Fig. 1-2).

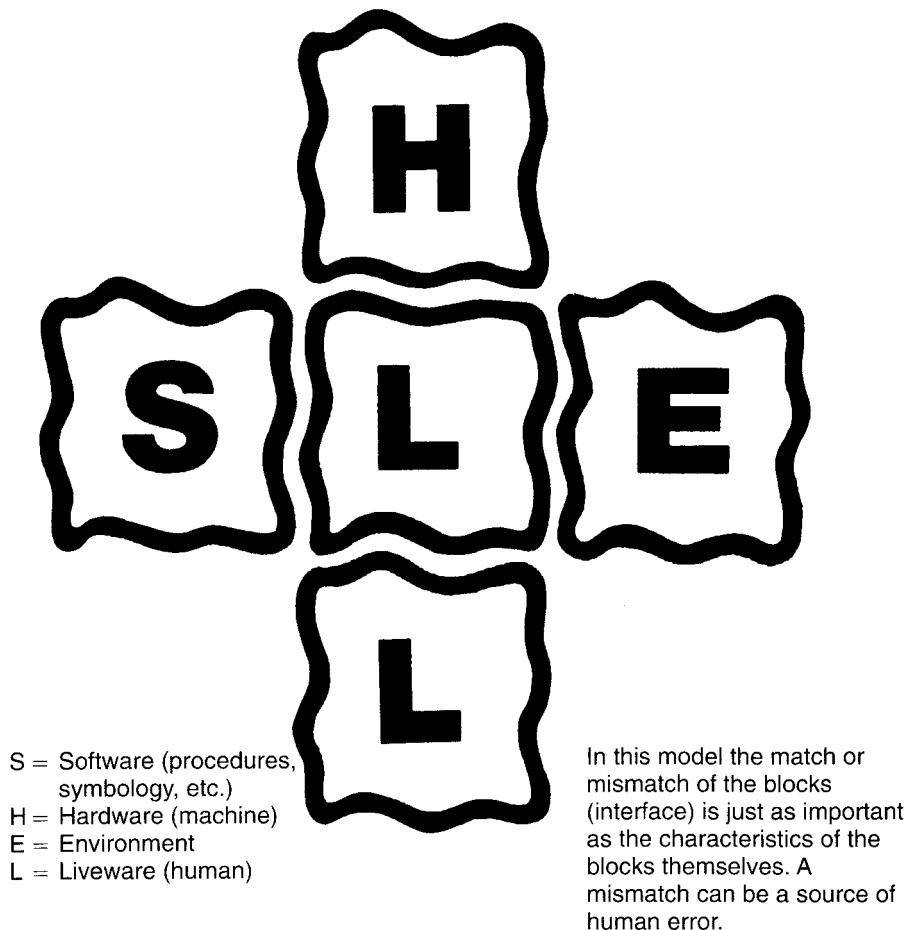


Figure 1-2 The SHEL model examines the interrelationship of human factors and the aviation environment.

The term comes from the basic components:

- Software (procedures, documentation, symbology, etc.)
- Hardware (machines and equipment)
- Environment (internal and external)
- Liveware (human element)

Liveware becomes a component as well as the central figure upon which each component will have an effect; thus, we can talk about the “human-machine” interaction (pilot moves a control), for example, while keeping in mind that there are other interactions (turbulence caused by weather). It is this model that helps to visualize how and why CRM works and that CRM is not limited solely to “human-human” interactions (see Chapter 13).

The model is also important in explaining why the physiology of flight is important, since the human element is obviously crucial and central and every aspect of physiology will affect every other interaction as defined in

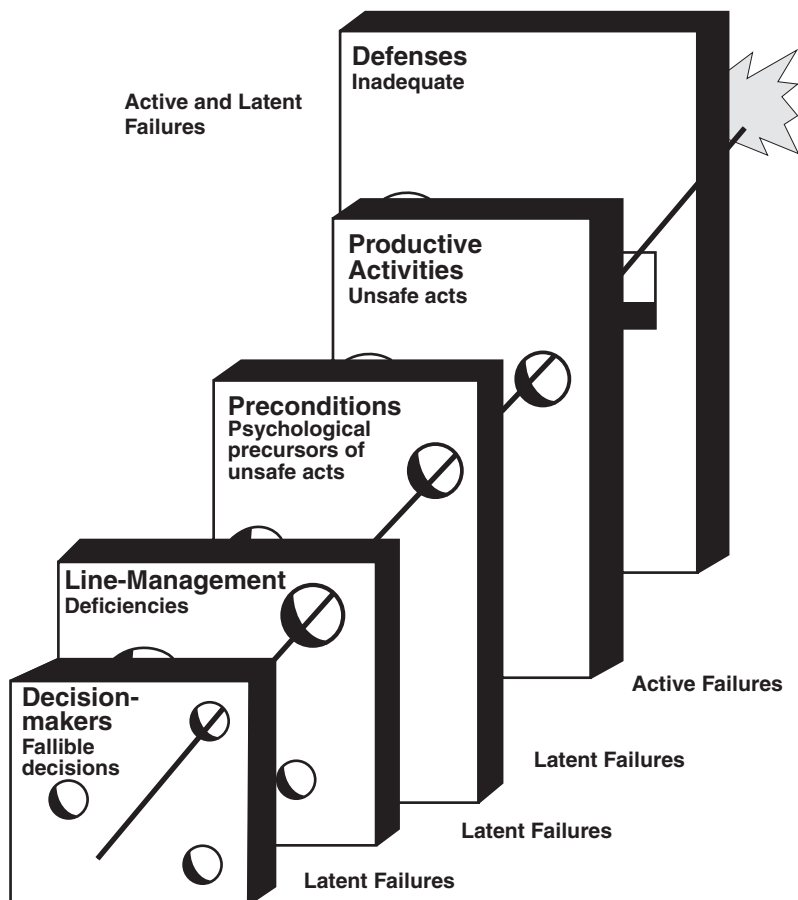


Figure 1-3 Reason's model.